## The Structure Theorem and Its Spinoffs

work in progress<br>joint with<br>Bernd Stellmacher and Gernot Stroth

## Groups of local characteristic p

Let $G$ be finite group and $p$ a prime.
Definition $1 G$ has characteristic $p$ if

$$
C_{G}\left(O_{p}(G)\right) \leq O_{p}(G)
$$

$H$ is a p-local subgroup of $G$ if $H=N_{G}(P)$ for some non-trivial $p$-subgroup of $G$.
$G$ has local characteristic $p$ if all $p$-local subgroups of $G$ have characteristic $p$.
$G$ is a $\mathcal{K}_{p}$-group if the composition factors of the $p$ locals subgroups of $G$ are known finite simple groups.

## Goals:

1. Understand the finite groups of local characteristic $p$.
2. Classify $\mathcal{K}_{p}$-groups of local characteristic $p$ whose $p$-local structure is not too small.

## The Structure Theorem

Definition 2 Let $H$ be group, $\mathbb{F}$ a field and $V$ an $\mathbb{F} H$-module.
(a) $H$ acts nilpotently on $V$ if there exists an ascending series

$$
0=V_{0} \leq V_{1} \leq V_{2} \ldots, V_{n-1} \leq V_{n}
$$

of $\mathbb{F} H$-submodules of $V$ such that $H$ centralizes each of the factor $V_{i+1} / V_{i}$.
(b) $V$ is $H$-reduced if $[V, N]=0$ whenever $N \unlhd H$ and $N$ acts nilpotently on $V$.
(c) If $H$ is finite, then the largest elementary abelian normal $H$-reduced $p$-subgroup of $H$ is denoted by $Y_{H}$.

Definition 3 Let $A$ and $B$ be subgroups of $G$. The relation $\ll$ on the subgroups of $G$ is defined by
$A \ll B: \Longleftrightarrow A \subseteq C_{G}\left(Y_{A}\right) B$ and $Y_{A} \leq Y_{B}$.
Furthermore, we define

$$
\begin{gathered}
A^{\dagger}:=C_{G}\left(Y_{A}\right) A \\
\mathcal{S}^{\dagger}=\left\{L \leq G \mid L=L^{\dagger}\right\}=\left\{L \leq G \mid C_{G}\left(Y_{L}\right) \leq L\right\}
\end{gathered}
$$

Lemma 4 (a) For all $L \leq G, A \ll A^{\dagger}$ and $A^{\dagger} \in \mathcal{S}^{\dagger}$.
(b) $\ll$ is reflexive and transitive.
(c) Restricted to $\mathcal{S}^{\dagger}, \ll$ is a partial ordering.

Definition $5 \mathcal{S}^{\dagger}(S)=\left\{L \in \mathcal{S}^{\dagger} \mid S \leq L\right\}$ and $\mathcal{F}(S)$ is the set of maximal elements of $\ll$ in $\mathcal{S}^{\dagger}(S)$.

Definition 6 Let $Q$ be a p-subgroup of a finite group $G$. We say that $Q$ is large subgroup of $G$ provided that $C_{G}(Q) \leq Q$ and

$$
Q \unlhd N_{G}(A)
$$

for all $1 \neq A \leq Z(Q)$.

## Theorem 7 (Structure Theorem)

Let $p$ be a prime, $G$ be a finite $\mathcal{K}_{p}$-group of local characteristic $p$. Suppose that $Q$ is a large $p$ subgroup of $G$ and $Q \leq S \in \operatorname{Syl}_{p}(G)$. Let $M \in \mathcal{F}(S)$ with $Q \nexists M$. Put $M^{\circ}=\left\langle Q^{M}\right\rangle, \bar{M}=M / C_{M}\left(Y_{M}\right)$ and $I=\left[Y_{M}, M^{\circ}\right]$.

Suppose that $Y_{M} \leq O_{p}\left(N_{G}(Q)\right)$. Then one the following holds.

1. $\overline{M^{\circ}} \cong S L_{n}(q), S p_{2 n}(q)$ or $S p_{4}(2)^{\prime}$ and $I$ is the corresponding natural module.
2. There exists a normal subgroup $K$ of $\bar{M}$ such that
(a) $K=K_{1} \times \cdots \times K_{r}, K_{i} \cong S l_{2}(q)$ and

$$
Y_{M}=V_{1} \times \cdots \times V_{r}
$$

where $V_{i}:=\left[Y_{M}, K_{i}\right]$ is a natural $K_{i}$-module.
(b) $Q$ permutes the $K_{i}$ 's transitively.

Suppose that $Y_{M} \notin O_{p}\left(N_{G}(Q)\right)$. Then one of the following holds:
(a) There exists a normal subgroup $K$ of $\bar{M}$ such that $K=K_{1} \circ K_{2}$ with $K_{i} \cong S L_{m_{i}}(q), Y_{M} \cong$ $\underline{V_{1}} \otimes V_{2}$ where $V_{i}$ is a natural module for $K_{i}$ and $\overline{M^{\circ}}$ is one of $K_{1}, K_{2}$ or $K_{1} \circ K_{2}$.
(b) $\left(\overline{M^{\circ}}, p, I\right)$ is as given in the following table:

| $\overline{M^{\circ}}$ | $p$ | I |
| :---: | :---: | :---: |
| $\mathrm{SL}_{n}(q)$ | $p$ | natural |
| $\mathrm{SL}_{n}(q)$ | $p$ | $\Lambda^{2}$ (natural) |
| $\mathrm{SL}_{n}(q)$ | $p$ | $S^{2}$ (natural) |
| $\mathrm{SL}_{n}\left(q^{2}\right)$ | $p$ | natural $\otimes$ natural ${ }^{q}$ |
| 3 Alt (6), 3 Sym(6), | 2 | $2^{6}$ |
| $\Gamma \mathrm{SL}_{2}(4), \Gamma \mathrm{GL}_{2}(4)$ | 2 | natural |
| $\mathrm{Sp}_{2 n}(q)$ | 2 | natural |
| $\Omega_{n}^{ \pm}(q)$ | $p$ | natural |
| $\mathrm{O}_{4}^{+}(2)$ | 2 | natural |
| $\Omega_{10}^{ \pm}(q)$ | 2 | half-spin |
| $\mathrm{E}_{6}(q)$ | $p$ | $q^{27}$ |
| Mat ${ }_{11}$ | 3 | $3^{5}$ |
| $2 \mathrm{Mat}_{12}$ | 3 | $3^{6}$ |
| Mat ${ }_{22}$ | 2 | $2^{10}$ |
| Mat 24 | 2 | $2^{11}$ |

## 2F-stability

Definition 8 Let $A$ be an elementary abelian $p$ group and $V$ a finite dimensional $G F(p) A$-module. Then $A$ is
(a) quadratic on $V$ if $[V, A, A]=0$,
(b) cubic on $V$ if $[V, A, A, A]=0$,
(c) nearly quadratic on $V$ if $A$ is cubic and

$$
[V, A]+C_{V}(A)=[v, A]+C_{V}(A)
$$

for every $v \in V \backslash[V, A]+C_{V}(A)$,
(d) an offender on $V$ if $\left|V / C_{V}(A)\right| \leq\left|A / C_{A}(V)\right|$,
(e) a $2 F$-offender if $\left|V / C_{V}(A)\right| \leq\left|A / C_{A}(V)\right|^{2}$,
(f) non-trivial on $V$ if $[V, A] \neq 0$.

Let $A$ be an elementary abelian p-subgroup $A$ of $G$.
Then $A$ is $F$-stable in $G$ if none of the elementary abelian $p$-subgroups of $N_{G}(A) / C_{G}(A)$ are non-trivial offenders on $A$.

Similarly, $A$ is $2 F$-stable in $G$ if none of the elementary abelian $p$-subgroups of $N_{G}(A) / C_{G}(A)$ are non-trivial nearly quadratic $2 F$-offenders on $A$.

Let $H$ be a finite group, $p$ a prime and $V$ an elementary abelian $p$-subgroup of $H$. Suppose that
(i) $H$ is of characteristic $p$.
(ii) $V \nsubseteq \mathrm{O}_{p}(H)$.
(iii) $V$ is weakly closed in $H$.

Choose $V \leq L \leq H$ minimal with $V \not \leq \mathrm{O}_{p}(L)$.
Put $A:=\left\langle\left(V \cap \mathrm{O}_{p}(L)\right)^{L}\right\rangle$. Then $[V, A] \neq 1$ and
$A$ is a nearly quadratic $2 F$-offender on $V$

Definition 9 Let $S$ be Sylow p-subgroup of $G$.

$$
B(S):=C_{S}\left(\Omega_{1} \mathrm{Z}(J(S))\right)
$$

$C^{*}(G, S):=\left\langle C_{G}\left(\Omega_{1} \mathrm{Z}(S)\right)\right), N_{G}(C) \mid 1 \neq C$ char $\left.B(S)\right\rangle$
Definition 10 Let $G$ be a finite group and $H \leq G$.
(a) $H$ is called a parabolic subgroup of $G$ if $H$ contains a Sylow $p$-subgroup of $G$.
(b) $G$ has parabolic characteristic $p$ if all $p$-local, parabolic subgroups of $G$ have characteristic $p$.

Theorem 11 Let $G$ be a finite group of parabolic characteristic $p$ and $S \in \operatorname{Syl}_{p}(G)$. Suppose $M \in \mathcal{F}(S)$ such that $Y_{M}$ is $2 F$-stable. Then
(a) $C^{*}(G, S) \leq M$.
(b) $C^{*}(H, T) \leq H \cap M<H$ for all $H \leq G$ with $B(S) \leq$ $H$ and $H \not \leq N$, where $B(S) \leq T \in \operatorname{Syl}_{p}(H)$.
(c) If $N \in \mathcal{F}(S)$ with $N \neq M$, then $Y_{N}$ is not $F$ stable.

Corollary 12 Let $G$ be a finite group of parabolic characteristic $p$ and $S \in \operatorname{Syl}_{p}(G)$. If $S$ is contained in at least two maximal p-local subgroups of $G$, then there exists $M \in \mathcal{F}(S)$ such that $Y_{M}$ is not $2 F$-stable.

## The Fitting Submodule

Let $\mathbb{F}$ be a field, $H$ a finite group and $V$ a finite dimensional $\mathbb{F} H$-module.

## Definition 13

(a) $\operatorname{rad}_{V}(H)$ is the intersection of the maximal $\mathbb{F} H-$ submodules of $V$
(b) Let $W$ be an $\mathbb{F} H$ submodule of $V$ and $N \unlhd H$. Then $W$ is $N$-quasisimple if $W$ is $H$-reduced, $W / \operatorname{rad}_{W}(H)$ is simple for $\mathbb{F} H, W=[W, N]$ and $N$ acts nilpotently on $\operatorname{rad}_{W}(H)$.
(c) $\mathrm{S}_{V}(H)$ is the sum of all simple $\mathbb{F} H$-submodules of $V$.
(d) $\mathrm{E}_{H}(V):=\mathrm{C}_{\mathrm{F}^{*}(H)}\left(\mathrm{S}_{V}(H)\right)$.
(e) $W$ is a component of $V$ if either $W$ is a simple $\mathbb{F} H$-submodule with $\left[W, \mathrm{~F}^{*}(H)\right] \neq 0$ or $W$ is an $\mathrm{E}_{H}(V)$-quasisimple $\mathbb{F} H$-submodule.
(f) The Fitting submodule $\mathrm{F}_{V}(H)$ of $V$ is the sum of all components of $V$.
(g) $\mathrm{R}_{V}(H):=\sum \operatorname{rad}_{W}(H)$, where the sum runs over all components $W$ of $V$

Theorem 14 (a) The Fitting submodule $\mathrm{F}_{V}(H)$ is $H$-reduced.
(b) $\mathrm{R}_{V}(H)$ is a semisimple $\mathbb{F} \mathrm{F}^{*}(H)$-module.
(c) $\mathrm{R}_{V}(H)=\operatorname{rad}_{\mathrm{F}_{V}(H)}(H)$.
(d) $\mathrm{F}_{V}(H) / \mathrm{R}_{V}(H)$ is a semisimple $\mathbb{F} H$-module Theorem 15 Let $V$ be faithful and $H$-reduced. Then also $\mathrm{F}_{V}(H)$ and $\mathrm{F}_{V}(H) / \mathrm{R}_{V}(H)$ are faithful and $H$ reduced.

Definition 16 Let $A$ be a subgroup of $G$ such that $A / C_{A}(V)$ is an elementary abelian p-group. $A$ is a best offender of $G$ on $V$ if $|B| \cdot\left|C_{V}(B)\right| \leq|A| \cdot\left|C_{V}(A)\right|$ for every $B \leq A$.

Definition 17 The normal subgroup of $G$ generated by the best offenders of $G$ on $V$ is denoted by $J_{G}(V)$.

A $J_{G}(V)$-component is non-trivial subgroup $K$ of $J_{G}(V)$ minimal with respect to $K=\left[K, J_{G}(V)\right]$.

## Theorem 18 (The Other $\mathcal{P}(G, V)$-Theorem.)

Suppose that $V$ is a faithful finite dimensional, reduced $\mathbb{F}_{p} G$-module. Then

$$
[E, K]=1 \text { and }[V, E, K]=0
$$

for any two distinct $J_{G}(V)$-components $E$ and $K$.

Definition 19 A finite group is a $\mathcal{C K}$-group if all its compositions factors are known finite simple groups.

Theorem 20 (FF-Module Theorem, Guralnick-Malle)
Let $M$ be a finite $\mathcal{C K}$ group with $F^{*}(M)$ be quasisimple and $V$ a faithful simple $\mathbb{F}_{p} M$-module. Suppose that $M=J_{M}(V)$.

Then ( $M, p, V$ ) is one of the following:

| $M$ | $p$ | $V$ |
| :---: | :---: | :---: |
| $\mathrm{SL}_{n}(q)$ | $p$ | natural |
| $\mathrm{Sp}_{2 n}(q)$ | $p$ | natural |
| $\mathrm{SU}_{n}(q)$ | $p$ | natural |
| $\Omega_{n}^{\epsilon}(q)$ | $p$ | natural |
| $\mathrm{O}_{2 n}^{\epsilon}(q)$ | 2 | natural |
| $\mathrm{G}_{2}(q)$ | 2 | $q^{6}$ |
| $\operatorname{SL}_{n}(q)$ | $p$ | $\Lambda^{2}($ natural $)$ |
| $\operatorname{Spin}_{7}(q)$ | $p$ | $\operatorname{Spin}$ |
| $\operatorname{Spin}_{10}^{+}(q)$ | $p$ | $\operatorname{Spin}$ |
| $3 \operatorname{Alt}^{(6)}$ | 2 | $2^{6}$ |
| $\operatorname{Alt}(7)$ | 2 | $2^{4}$ |
| $\operatorname{Sym}(n)$ | 2 | natural |
| $\operatorname{Alt}(n)$ | 2 | natural |

Theorem 21 (J-Module Theorem) Let $M$ be a finite $\mathcal{C} \mathcal{K}$-group, $V$ a faithful, reduced $\mathbb{F}_{p} M$-module. Let $J=J_{V}(M)$. Let $\mathcal{J}=\mathcal{J}_{V}(M)$ be the set of $J_{V}$-components of $V$. Put $W=[V, \mathcal{J}] C_{V}(\mathcal{J}) / C_{V}(\mathcal{J})$ and let $K \in \mathcal{J}$.
(a) $K$ is either quasisimple or $p=2$ or 3 and $K \cong$ $S L_{2}(p)^{\prime}$.
(b) $[V, K, L]=0$ for all $K \neq L \in \mathcal{J}$.
(c) $W=\bigoplus_{K \in \mathcal{J}}[W, K]$.
(d) $J^{p} J^{\prime}=0^{p}(J)=\mathrm{F}^{*}(J)=X \mathcal{J}$.
(e) $W$ is a semisimple $\mathbb{F}_{p} J$-module.
(f) Let $J_{K}=J / C_{J}([W, K])$. Then $K \cong O^{p}\left(J_{K}\right)$ and one of the following holds:

1. [ $W, K$ ] is a simple $K$-module and ( $J_{K},[W, K]$ ) fullfills the assumptions and so also the conclusion of Theorem 20.
2. $J_{k}$, and $[W, K]$ are as follows (where $N$ denotes a natural module and $N^{*}$ its dual):

| $J_{K}$ | $[W, K]$ | conditions |
| :---: | :---: | :---: |
| $\mathrm{SL}_{n}(q)$ | $N^{r} \oplus N^{* s}$ | $\sqrt{r}+\sqrt{s} \leq \sqrt{n}$ |
| $\mathrm{Sp}_{2 n}(q)$ | $N^{r}$ | $r \leq n$ |
| $\mathrm{SU}_{n}(q)$ | $N^{r}$ | $r \leq \frac{n}{4}$ |
| $\Omega_{n}^{\epsilon}(q)$ | $N^{r}$ | $r \leq \frac{n-2}{4}$ |
| $\mathrm{O}_{2 n}^{\epsilon}(q)$ | $N^{r}$ | $p=2, r \leq \frac{2 n-2}{4}$ |

## Nearly Quadratic Modules

Lemma 22 Let $V$ be a nearly quadratic, but not quadratic $\mathbb{F} A$-module. Let $X$ and $Y$ be $\mathbb{F} A$-submodules of $V$ such that

$$
V=X \oplus Y
$$

Then $A$ centralizes $X$ or $Y$.
Theorem 23 Let $\mathbb{F}$ be field, $H$ a group and $V$ be a faithful semisimple $\mathbb{F} H$-module. Let $\mathcal{Q}$ be the set of nearly quadratic, but not quadratic subgroups of $H$. Suppose that $H=\langle\mathcal{Q}\rangle$. Then there exists a partition $\left(\mathcal{Q}_{i}\right)_{i \in I}$ of $\mathcal{Q}$ such that
(a) $H=\bigoplus_{i \in I} H_{i}$, where $H_{i}=\left\langle\mathcal{Q}_{i}\right\rangle$.
(b) $V=C_{V}(H) \oplus \bigoplus_{i \in I}\left[V, H_{i}\right]$.
(c) For each $i \in I,\left[V, H_{i}\right]$ is a simple $\mathbb{F} H_{i}$-module.

Theorem 24 Let $H$ be a finite group, and $V$ a faithful simple $\mathbb{F}_{p} H$-module. Suppose that $H$ is generated by elementary abelian, nearly quadratic, but not quadratic subgroups of $H$.

Let $W$ a simple $\mathbb{F}_{p} \mathrm{~F}^{*}(H)$-submodule of $V$ and

$$
\mathbb{K}=\operatorname{End}_{\mathrm{F}^{*}(H)}(W)
$$

Then $H, V, W, \mathbb{K}$ and $H / C_{H}(\mathbb{K})$ as follows:

| H | V | W | $\mathbb{K}$ | $H / C_{H}(\mathbb{K})$ |
| :---: | :---: | :---: | :---: | :---: |
| $\left(C_{2} \backslash \operatorname{Sym}(n)\right)^{\prime}$ | $\mathbb{F}_{3}^{n}$ | $\mathbb{F}_{3}$ | $\mathbb{F}_{3}$ | - |
| $\mathrm{SL}_{n}\left(\mathbb{F}_{2}\right) \backslash C_{2}$ | $\mathbb{F}_{2}^{n} \oplus \mathbb{F}_{2}^{n}$ | $\mathbb{F}_{2}^{n}$ | $\mathbb{F}_{2}$ | - |
| $\mathrm{SL}_{2}\left(\mathbb{F}_{2}\right) \times \mathrm{SL}_{2}\left(\mathbb{F}_{2}\right)$ | $\mathbb{F}_{2}^{2} \otimes \mathbb{F}_{2}^{2}$ | $\mathbb{F}_{4}$ | $\mathbb{F}_{4}$ | - |
| Frob(39) | $\mathbb{F}_{27}$ | $V$ | $\mathbb{F}_{27}$ | $C_{3}$ |
| $\left\ulcorner\mathrm{GL}_{n}\left(\mathbb{F}_{4}\right)\right.$ | $\mathbb{F}_{4}^{n}$ | $V$ | $\mathbb{F}_{4}$ | $C_{2}$ |
| $\left\ulcorner\mathrm{SL}_{n}\left(\mathbb{F}_{4}\right)\right.$ | $\mathbb{F}_{4}^{n}$ | $V$ | $\mathbb{F}_{4}$ | $C_{2}$ |
| 3. Sym(6) | $\mathbb{F}_{4}^{3}$ | $V$ | $\mathbb{F}_{4}$ | $C_{2}$ |
| $\mathrm{SL}_{n}(\mathbb{K}) \circ \mathrm{SL}_{m}(\mathbb{K})$ | $\mathbb{K}^{n} \otimes \mathbb{K}^{m}$ | $V$ | any | 1 |
| $\left(C_{2}\right.$ 乙 Sym(4)) ${ }^{\prime}$ | $\mathbb{F}_{3}^{4}$ | $V$ | $\mathbb{F}_{3}$ | 1 |
| $\mathrm{F}^{*}(H)$ quasisimple | ? | $V$ | ? | 1 |

