

# Quantum representations and geometry of mapping class groups

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$\text{Mod}(\Sigma)$  = mapping class group of surface  $\Sigma$  (closed or with boundary)

- **Quantum Representations.** Given odd integer  $r \geq 3$ , and a primitive  $2r$ -th root of unity there is a (projective) representation

$$\rho_r : \text{Mod}(\Sigma) \rightarrow \mathbb{P}\text{Aut}(RT_r(\Sigma)).$$

- “Large- $r$ ” behavior of  $\rho_r$  and Nielsen-Thurston Classification : Know facts and open conjectures (*Andersen-Masbaum-Ueno Conjecture*).
- Recall basics about TQFT underlying the quantum representations: In particular *Turaev-Viro* invariants of a mapping torus  $M_f$ , denoted  $TV_r(M_f)$ , are obtained from traces of  $\rho_r$ .
- **Key point.** Exponential  $r$ -growth for  $TV_r(M_f)$  **implies**  $f$  satisfies the *AMU* conjecture.
- Manifolds with exponential  $r$ -growth for  $TV_r$ —Context/Setting (volume conjectures).
- Constructions of mapping tori with exponential  $r$ -growth of  $TV$  invariants using properties of fibered links (open book decompositions) in 3-manifolds.

# Nielsen–Thurston classification

**Convention.**  $\Sigma = \Sigma_{g,n}$  = surface of genus  $g$  and  $n$ -bdry components.

Assume  $3g - 3 + n > 0$ .

Given a mapping class  $f \in \text{Mod}(\Sigma)$  there is a representative  $g : \Sigma \rightarrow \Sigma$  such that at least one holds:

- 1  $g$  is *periodic*, i.e. some power of  $g$  is the identity;
  - 2  $g$  is *reducible*, i.e. preserves some finite union of disjoint simple closed curves  $\Gamma$  on  $\Sigma$ ; or
  - 3  $g$  is pseudo-Anosov (never periodic or reducible)
- If  $g : \Sigma \rightarrow \Sigma$  reducible, then a power of  $g$  acts on each component of  $\Sigma$  cut along  $\Gamma$ .
  - If at least one of the “pieces” is pseudo-Anosov, we say  $g$  *has non-trivial pseudo-Anosov pieces*.

# Mapping tori and Nielsen–Thurston classification

For  $f \in \text{Mod}(\Sigma)$  a mapping class let

$$M_f = \Sigma \times [0, 1] / (x, 0) \cong (f(x), 1)$$

be the mapping torus of  $f$ . We have:

- $f$  is *reducible* iff  $M_f$  has *incompressible* tori. In that case  $M_f$  can be cut along a canonical collection of such tori into geometric pieces (JSJ decomposition-geometric decomposition).
- Each piece of the decomposition will be either *Seifert fibered manifold* or a *hyperbolic*.
- *Gromov norm of  $M_f$* :  $v_{\text{tet}} \|M_f\| = \text{Vol}(H)$ ,  $\text{Vol}(H)$  is the sum of the hyperbolic volumes of components of the geometric decomposition.
- $f$  is *periodic* iff  $M_f$  is a *Seifert fibered* manifold ( $\|M_f\| = 0$ ).
- $f$  is *pseudo-Anosov*, iff  $M_f$  has *hyperbolic structure*.
- **Summary:**  $f \in \text{Mod}(\Sigma)$  has non-trivial pseudo-Anosov pieces iff  $\|M_f\| > 0$ .

# Quantum representations

- *Witten-Reshetikin-Turaev,  $SO(3)$ -representations:*
- For each odd integer  $r \geq 3$ , let  $U_r = \{0, 2, 4, \dots, r - 3\}$ .
- Given a primitive  $2r$ -th root of unity  $\zeta_r$ , a compact oriented surface  $\Sigma$ , and a coloring  $c$  of the components of  $\partial\Sigma$  by elements of  $U_r$ ,
- there is a finite dimensional  $\mathbb{C}$ -vector space,  $RT_r(\Sigma, c)$  and representations:

$$\rho_{r,c} : \text{Mod}(\Sigma) \rightarrow \mathbb{P}\text{Aut}(RT_r(\Sigma, c)).$$

- We have  $\dim(RT_r(\Sigma_{g,n}, c)) \cong r^{3g-3+n}$ . (dimensions grow polynomially in  $r$ ; Verlinde formula.)
- We will work with  $\zeta_r = e^{\frac{i\pi}{r}}$ . (*TQFT is not unitary*)

# Context:

- **Question.** What geometric information of  $\text{Mod}(\Sigma)$  do the representations  $\rho_{r,c}$  detect?
- The representations  $\rho_{r,c}$  are not faithful! The images of Dehn twists have finite order! However,  $\rho_{r,c}$  are **asymptotically faithful**:

## Theorem

*(Andersen, Freedman-Walker-Wang, Marché-Narimannejad)* Let  $f \in \text{Mod}(\Sigma)$ . If  $\rho_{r,c}(f) = 1$ , for all  $r, c$ , then  $f = 1$ . [except in the few cases when  $\text{Mod}(\Sigma)$  has center and  $f$  is an involution.]

- Hence: There is  $n$ , such that

$$(\rho_{r,c}(f))^n = \lambda Id \text{ for all } r, c, \text{ iff } f^n = 1.$$

(i.e  $f$  is periodic) [*again some exceptions*].

- **Conjecture.** (AMU, 2004)  $f \in \text{Mod}(\Sigma)$  has PA pieces iff for every  $r \gg 0$  there a choice of colors  $c$  such that  $\rho_{r,c}(f)$  has infinite order.

**Remark.**  $f \in \text{Mod}(\Sigma)$  satisfies the AMU iff at least of its PA pieces does. ☰



# What is known:

- *Andersen, Masbaum and Ueno (2004)* proved their conjecture when  $\Sigma = \Sigma_{0,4}$  = the four-holed sphere.
- *Santharoubane* proved the conjecture for the one-holed torus.
- *Egsgaard and Jorgensen (2012) and Santharoubane (2015)* proved the conjecture for families for mapping classes in  $\Sigma = \Sigma_{0,n}$ , for all  $n > 4$ .
- In all above cases the quantum representations turn out to be related to previously studied braid group representations: (specializations of Burau representations, McMullen's representations related to actions on homology of branched covers of  $\Sigma_{0,n}$ .)
- For surfaces of genus  $g > 1$  no examples known till 2016.
- Using *Birman exact sequences* of mapping class groups, one extracts representations of  $\pi_1(\Sigma)$  from the representations  $\rho_{r,c}$ .
- *Marché and Santharoubane* used these representations to obtain examples of pseudo-Anosov mappings classes satisfying the AMU conjecture by exhibiting “appropriate” elements in  $\pi_1(\Sigma)$ . Gave explicit curves on genus 2 surfaces (more next).

# Quantum representations of surface groups

- $\chi(\Sigma) < 0$  and  $x_0$  a marked point in the interior of  $\Sigma$  and  $\text{Mod}(\Sigma, x_0)$  group of classes preserving  $x_0$ .
- **Birman Exact Sequence.**

$$0 \longrightarrow \pi_1(\Sigma, x_0) \longrightarrow \text{Mod}(\Sigma, x_0) \longrightarrow \text{Mod}(\Sigma) \longrightarrow 0.$$

- **Kra's criterion.**  $\gamma \in \pi_1(\Sigma, x_0)$  represents a pseudo-Anosov mapping class iff  $\gamma$  *fills*  $\Sigma$ .
- The quantum representations give representations:

$$\rho_{r,c} : \pi_1(\Sigma) \rightarrow \mathbb{P}\text{Aut}(RT_r(\Sigma, c)).$$

- (*Koberda-Satharoubane*) used  $\rho_{r,c}$  to answer an open question (asked by several people independently *Kent, Kisin, Marché, McMullen, ...*):
- Constructed a linear representation of  $\pi_1(\Sigma, x_0)$ , that has **infinite image**, but the image of every **simple closed curve has finite order!**
- Their work led to (another) algorithm that decides whether or not  $\gamma \in \pi_1(\Sigma, x_0)$  is freely homotopic to a simple loop!



# The examples of Marché-Satharoubane

- Gave first examples of pseudo-Anosov mapping classes, for surfaces of genus  $> 1$ , that satisfy the following (*implied by AMU*).
- **AMU Conjecture for surface groups.** If a non-trivial element  $\gamma \in \pi_1(\Sigma, x_0)$  is not a power of a class represented by a simple loop, then  $\rho_{r,c}(\gamma)$  has infinite order for  $r \gg 0$  and a choice of  $c$ .
- Their examples are realized by immersed curves that *fill*  $\Sigma$  and satisfy an additional technical condition they called *Euler incompressibility*.
- They use WRT-TQFT (at “usual” root of unity) to construct a (*Jones-type*) polynomial invariant for links in  $S^1 \times \Sigma$ . Roughly speaking, non-triviality of the invariant for  $\gamma \in \pi_1(\Sigma, x_0)$ , *viewed as link in  $S^1 \times \Sigma$* , implies that  $\gamma$  satisfies the AMU Conjecture for surface groups. *Euler incompressibility* of  $\gamma$  is used to derive non-triviality.
- For fixed genus, their criterion, leads to finitely many (up to conjugation and powers) pseudo-Anosov mapping classes that satisfy the AMU Conjecture.
- Gave explicit examples in genus two. The first evidence for AMU conjecture for genus  $> 1$ .

# Another approach: Growth of TV invariants and AMU

- $r = \text{odd}$ ,  $TV_r(M) := TV_r(M, e^{\frac{2\pi i}{r}})$  = Turaev-Viro invariant at level  $r$ ,

$$ITV(M) := \liminf_{r \rightarrow \infty} \frac{2\pi}{r} \log |TV_r(M)|.$$

- (Generalized) Q. Chen- T. Yang volume conjecture:

$$ITV(M) = v_{\text{tet}} \|M\| > 0$$

- (Weaker) Exponential Growth Conjecture:

$$ITV(M) > 0 \text{ iff } \|M\| > 0$$

- Relevance to AMU Conjecture: EGC implies AMU:
- **Proposition.** (Detcherry-K., 2017) Let  $f \in \text{Mod}(\Sigma)$  mapping class and let  $M_f$  be the mapping torus of  $f$ . If  $ITV(M_f) > 0$ , then  $f$  satisfies AMU.
- **Key point:** View  $TV_r(M)$  as part of the  $SO(3)$ -TQFT theory rather than a combinatorial *state sum* defined on triangulations of  $M$ .

# TV invariants as part of a TQFT

- *Witten-Reshetikhin-Turaev TQFT / Blanchet-Habegger-Masbaum-Vogel.*
- For  $r \geq 3$  and  $\zeta_r = e^{\frac{i\pi}{r}}$ , we have a TQFT functor  $RT_r$ :
- $M$ =closed, oriented 3-manifold  $RT_r(M)=\mathbb{C}$ -valued invariant.
- $\Sigma$ =compact, oriented surface, w.  $U_r$ -coloring  $c$  of  $\partial\Sigma$ ,

$$RT_r(\Sigma, c) = f.d. \mathbb{C} \text{ -vector space.}$$

- $M$ =cobordism with  $\partial M = -\Sigma_0 \cup \Sigma_1$ , there is a map

$$RT_r(M) \in \text{End}(RT_r(\Sigma_0), RT_r(\Sigma_1)).$$

- $RT_r$  takes composition of cobordisms to composition of linear maps.
- We get

$$\rho_{r,c} : \text{Mod}(\Sigma) \rightarrow \mathbb{P}\text{Aut}(RT_r(\Sigma, c)).$$

- If  $\partial\Sigma = \emptyset$ , and  $C_f$ =mapping cylinder of  $f$ ,  $\rho_r(f) = RT_r(C_f)$ .
- If  $\partial\Sigma \neq \emptyset$  we color  $\partial\Sigma$  with elements of  $U_r$ . To define  $\rho_{r,c}$  need  $RT_r$  for cobordisms w. colored tangles.

# Proof of Proposition:

- By *Beliakova, Roberts, Turaev, Walker, (Benedetti-Pertronio)* and TQFT structure

$$TV_r(M_f) = \sum_c (\text{Tr} \rho_{r,c}(f))^2.$$

where the sum ranges over all colorings of the boundary components of  $M_f$  by elements of  $U_r$ .

- *Since  $ITV(M_f) > 0$ , the sequence  $\{TV_r(M_f)\}_r$  is bounded below by a sequence that is exponentially growing in  $r$  as  $r \rightarrow \infty$ .*
- The sequence  $\sum_c \dim(RT_r(\Sigma, c))$  only grows polynomially in  $r$ .
- So, there will be at least **one  $c$**  such that  $|\text{Tr} \rho_{r,c}(f)| > \dim(RT_r(\Sigma, c))$ .
- Then  $\rho_{r,c}(f)$  must have an eigenvalue of modulus **bigger than 1**. Thus it has infinite order. □

# More detail: Torus orthonormal basis

- RHS evaluated at  $\zeta_r = e^{\frac{i\pi}{r}}$ , and LHS at  $\zeta_r^2$ , and  $\langle .. \rangle =$  Hermitian pairing of  $RT_r(\Sigma, c)$ .

$$TV_r(M_f) = \|RT_r(M_f)\|^2 = \langle RT_r(M_f), RT_r(M_f) \rangle.$$

- $RT_r(\partial M_f)$  has **orthonormal** basis  $\mathbf{e}_c$ , where  $c$  runs over all  $n$ -tuples; one for each boundary component.
- $\mathbf{e}_c$  is also the  $RT_r$ -vector of the cobordism of  $n$  solid tori, with the  $i$ -th solid torus containing the core colored by  $c_i$ .
- Write  $RT_r(M_f) = \sum_c \lambda_c \mathbf{e}_c$ . Thus

$$TV_r(M_f) = \sum_c |\lambda_c|^2 = \sum_c |\langle RT_r(M_f), \mathbf{e}_c \rangle|^2.$$

- to get  $\langle RT_r(M_f), \mathbf{e}_c \rangle$ : fill  $\partial$ -components of  $M_f$ ; add link  $L =$  union cores colored by  $c_j$ . Thus

$$\langle RT_r(M_f), \mathbf{e}_c \rangle = RT_r(M_f, (L, c)) = \text{Tr}(\rho_{r,c}(f)).$$

$ITV(M) > 0$  implies  $\|M\| > 0$

## Theorem

(Detcherry-K., 2017) There exists a universal constant  $C > 0$  such that for any compact orientable 3-manifold  $M$  with **empty or toroidal boundary** we have

$$ITV(M) \leq C\|M\|.$$

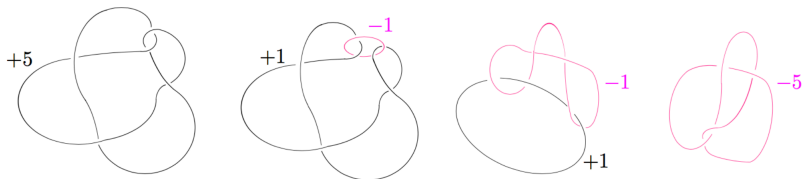
- **Remark.** if  $ITV(M_f) > 0$ , for some mapping class  $f$ , then  $f$  satisfies AMU.
- **Computing  $ITV$  is hard!** But we don't always have to compute it to decide exponential growth!
- **Limits do not increase under Dehn filling.** (Detcherry-K) If  $M$  is obtained by Dehn filling from  $M'$  then

$$ITV(M) \leq ITV(M')$$

- **Example.** Adding components to a link preserves exponential growth of TV invariants of link complement.

# An example: Knot $5_2$ and parents

- $K(p)$  = 3-manifold obtained by  $p$ -surgery on  $M$ .
- $ITV(4_1(-5)) = \text{Vol}(4_1(-5)) \simeq 0.9813688 > 0$  [Ohtsuki, 2017]
- Observe  $5_2(5)$  is homeomorphic to  $4_1(-5)$ .



- Dehn filling result implies  $ITV(S^3 \setminus 5_2) \geq ITV(5_2(5)) = ITV(4_1(-5)) > 0$
- But Dehn filling result also implies that for any link containing  $5_2$  as a component we have **exponential growth**

$$ITV(S^3 \setminus L) \geq ITV(S^3 \setminus 5_2) > 0.$$

# Manifolds with $ITV(M) = v_3 \|M\| > 0$

- *(Detcherry-K- Yang, 2016)* Figure-8 knot and Borromean rings complements.
- *(Ohtsuki, 2017)* Infinite family of closed hyperbolic 3-manifolds: Manifolds obtained by integral integer fillings of  $S^3$  along Figure-8 knot complement.
- *(Belletti-Detcherry-K.- Yang, 2018)* Infinite family of cusped hyperbolic 3-manifolds. These are the complements of *Fundamental Shadow Links* in connected sums of copies of  $S^1 \times S^2$ .
- *(Constantino- D. Thurston, 2005)* Every orientable 3-manifold  $M$  with empty or toroidal boundary contains a complement of a FSL:  **$M$  contains links  $L \subset M$  with  $ITV(M \setminus L) > 0$ .** *Doubles of link complements give closed 3-manifolds with  $ITV > 0$*
- *Kumar, Belletti, 2019* : More octahedral link complements.
- For the AMU conjecture we need: **mapping tori  $M_f$  with  $ITV(M_f) > 0$ !**
- **There exist many fibered links in all (closed) 3-manifolds!**. Look at fibered links in closed manifolds.



# Pseudo-Anosov mappings

- **(Vague) Question.** For  $n > 0$ . How large is the class of  $f \in \text{Mod}(\Sigma_{g,n})$  realized as monodromies of fibered links in closed 3-manifolds we know to have  $ITV > 0$ ? All of them?

## Theorem

(Detcherry-K, 2019) For  $g \gg 0$ , there is  $f \in \text{Mod}(\Sigma_{g,1})$  and a rank  $\left\lfloor \frac{g}{2} \right\rfloor$  free abelian subgroup

$$H < \text{Mod}(\Sigma_{g,1}),$$

such that any class in the coset  $fH$  is PA and satisfies the AMU conjecture.

- Similarly there is  $g \in \text{Mod}(\Sigma_{g,1})$  there is a rank two free subgroup

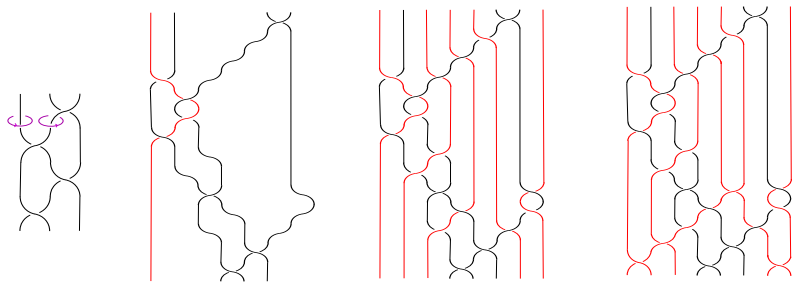
$$F < \text{Mod}(\Sigma_{g,1}),$$

such that any class in the coset  $gF$  is PA and satisfies the AMU.

- **Note.** No examples of PA mappings for closed surfaces of  $g > 2$  that satisfy the AMU are known.

# Constructions of PAs: Links in $S^3$

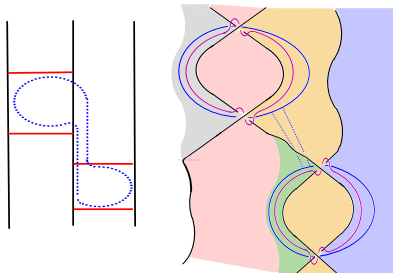
- Start with  $L \subset S^3$  be a link with  $ITV(S^3 \setminus L) > 0$ .
- (*Stallings, 60's*) We can add a component  $K$  so that  $K \cup L$  is a fibered.
- In fact,  $K \cup L$  will be a closed *homogeneous braid* and fiber is a Seifert surface obtained from closed braid projection.



- Refine process so that  $K \cup L$  is a hyperbolic and  $ITV(S^3 \setminus (L \cup K)) > 0$ .
- There are only finitely many f. m. link types in homogeneous closed braids of fixed genus! *No problem: Use Stallings twists... "wisely".*

# Stallings twists

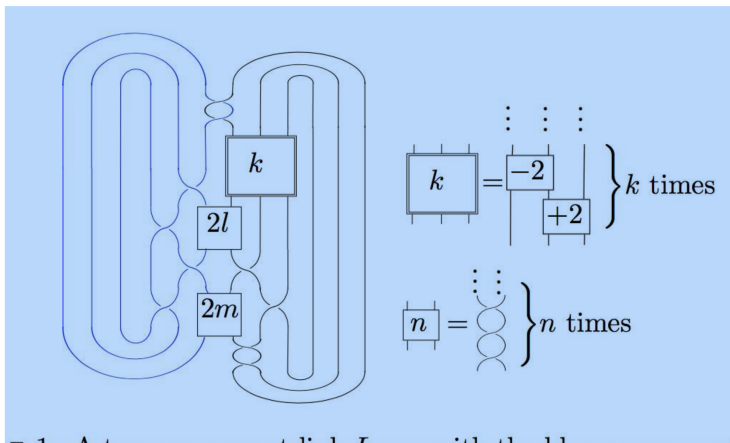
- $L$  fibered link with fiber  $F$  and monodromy  $f$ .
- $c$  = a non-trivial s.c.c on the fiber with  $lk(c, c^+) = 0$ ,  $c^+$  is the curve  $c$  pushed along the positive normal of  $F$ . *Need  $c$  not parallel to  $\partial F$  that bound a disc in  $D \subset S^3$ :*



- **A Stallings twist of order  $m$ :** *A full twist of order  $m$  along  $D$ .*
- Gives fibered links  $L_m$  with fiber  $F$  and monodromy  $f \circ \tau_c^m$ , where  $\tau_c$  = Dehn-twist on  $F$  along  $c$ .
- *(Long-Morton, Fathi)* If  $f$  pseudo-Anosov, for all  $m \gg 0$ ,  $f \circ \tau_c^m$  is pseudo-Anosov.

# Concrete examples: **start with $TV(S^3 \setminus 4_1) > 0$ .**

- $4_1$ =closure of the alternating braid  $\sigma_2^{-1}\sigma_1\sigma_2^{-1}\sigma_1$ .
- Fibered, hyperbolic, monodromies in  $\text{Mod}(\Sigma_{7+2k,2})$ , for  $m = 4, l = 1$ .
- Fiber supports  $k$ -Stallings twists.



# Concrete examples Cont'n

- Take a monodromy  $f$  for  $L(4, 1, k)$ . Dehn twists on Stallings curves generate Rank  $k$  abelian subgroup of  $H < \text{Mod}(\Sigma_{7+2k, 2})$ .
- elements in coset  $fH$  satisfy AMU. For large powers of Dehn twists maps are all pseudo-Anosov.
- Dehn  $(-5)$ -surgery on the figure-8 component with produces examples in  $N = 4_1(-5)$ . This manifold is hyperbolic.
- The result of  $L(4, 1, k)$  in the closed manifold is a knot,  $K(k)$  that fibers with fiber the fiber of  $L(4, 1, k)$  with one boundary component capped-off. Monodromies are in  $\text{Mod}(\Sigma_{7+2k, 1})$ .
- The Stallings twists will survive the surgery along  $4_1$ . We get abelian subgroup of rank  $k$  in  $H_k < \text{Mod}(\Sigma_{7+2k, 1})$ .
- We have  $ITV(N) > 0$  by Ohtsuki's result! Hence, all link complements in  $N$  have same property.
- For  $k$  take  $f$  a monodromy so that  $N \setminus K(k) = M_f$ . Now all mappings of the form  $fH_k$  are realized in  $N = 4_1(-5)$ .

# Mapping Tori: Integer and non integer values of $TV_r$

- **(D-K)** Let  $M_f$  be the mapping torus of a periodic mapping class  $f \in \text{Mod}(\Sigma)$  of order  $N$ . Then, for any odd integer  $r \geq 3$ , with  $\gcd(r, N) = 1$ , we have  $TV_r(M_f) \in \mathbb{Z}$ , for any choice of root of unity.
- **Corollary.** For co-prime integers  $p, q$  let  $T_{p,q}$  denote the  $(p, q)$ -torus link. Then, for any odd  $r$  co-prime with  $p$  and  $q$ , we have  $TV_r(S^3 \setminus T_{p,q}) \in \mathbb{Z}$ .
- In particular:  $TV_r(M_f) \in \mathbb{Z}$ , for infinitely many  $r$ .
- If  $ITV(M_f) > 0$  at some root of unity, then there can be at most finitely many values  $r$  for which  $TV_r(M_f) \in \mathbb{Z}$ .
- **Conjecture.** Suppose that  $f \in \text{Mod}(\Sigma)$  contains a PA part. Then, there can be at most finitely many odd integers  $r$  such that  $TV_r(M_f) \in \mathbb{Z}$ .

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