

Jones diameter and crossing number of knots

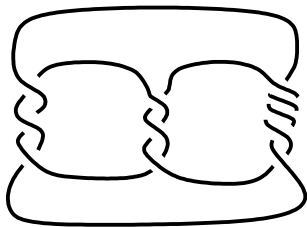
joint w/ Christine Lee, Texas State University.

Michigan State University

73rd BMC: Geometry workshop, London, UK, June 6-9, 2022

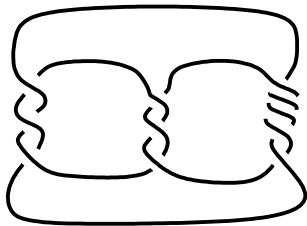
Crossing numbers.

- **Knots:** Smooth embeddings $S^1 \rightarrow S^3$, up to ambient isotopy in S^3 .
- Knots are studied through generic projections (a.k.a. *knot diagrams*) on a plane $S^2 \subset S^3$.



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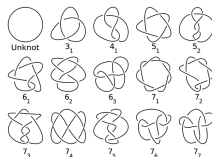
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- Given a knot K , the *crossing number* $c(K)$ is the smallest number of crossings over all knot diagrams representing K .
- Hard to calculate for arbitrary knots.
- Behavior under basic topological operations (e.g. *connected sum*, *satellite operations*) still poorly understood.

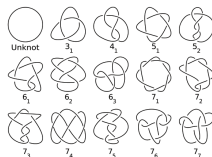
Knot tables.

- Enumeration techniques have produced knot tables of low crossing numbers.
- E. g. To find the crossing number of a knot given by a diagram of **7** crossings: List all knot diagrams that have **7** or less crossings. Use topological methods/invariants to decide the different knot types.
- Arrive at the table of **15 prime** knot types (up to reflection/orientation change):



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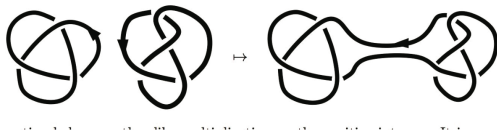
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- Arrive at the table of **15 prime** knot types (up to reflection/orientation change):



- There are **352,152,252** distinct knots up to 19 crossings!!.

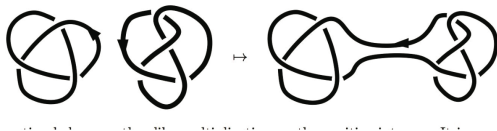
Topological operations: connected sums.

- Oriented knot diagrams $D(K)$, $D(K')$ and connected sum $D(K)\#D(K')$.



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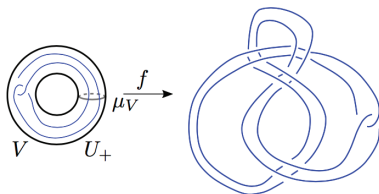
- Oriented knot diagrams $D(K)$, $D(K')$ and connected sum $D(K)\#D(K')$.



- Connected sum is well defined on knots, not just diagrams. So $D(K)\#D(K')$ is a knot diagram of the connected sum $K\#K'$.
- In the example, $D(K)$ and $D(K')$ are *minimum* (i.e. they realize the crossing number of the knots they represent).
- Does the knot $K\#K'$ admit a projection with less than 7 crossings? **Not in this example**, but
- **Conjecture. (open)** Crossing number is additive under connected sum : $c(K\#K') = c(K) + c(K')$.

Topological operations: Satellites.

- **Satellites.** *Satellite knot with companion K and pattern U_+* : Start with U_+ embedded “essentially” in standard solid torus $V \subset \mathbb{R}^3$.
- Re-embed $f : V \rightarrow V(K) \subset S^3$, where $V(K)$ =neighborhood of K .



- Knot $f(U_+)$ is uniquely defined once the image of the *canonical longitude* (unique generator of $H_1(V)$ that is trivial in $H_1(S^3 \setminus V)$), is specified under

$$f_* : H_1(\partial V) \rightarrow H_1(\partial V(K)).$$

- *Untwisted* satellite: f_* takes the canonical longitude in $H_1(V)$ to the canonical longitude in $H_1(V(K))$.
- Above Figure: *Untwisted Whitehead double* of figure-8 knot: $W(K)$, $K = 4_1$.

Crossing numbers of satellites?

- What is the crossing number of $W(4_1)$? Is the diagram below minimum?



- Yes in this case, but
- in general, the behavior of crossing numbers of satellites and relation with these of companions is not understood.
- **Question.** (open) Suppose that $S(K)$ is a satellite knot with companion K . Is it true that

$$c(S(K)) > c(K)?$$

Known results.

- **General bounds:**
- (Lakenby, 2005) For any knots K_1, K_2 we have

$$c(K_1) + c(K_2) \geq c(K_1 \# K_2) \geq \frac{c(K_1) + c(K_2)}{152}.$$

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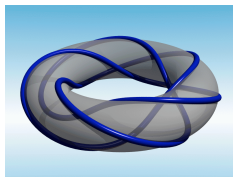
- Results support above mentioned conjectures and apply to all knots!
- General bounds are not good enough to be used for determination of crossing numbers of any knots.
- For example, for $W(4_1)$,

$$c(W(4_1)) \geq 10^{-13}c(4_1) = 4 \cdot 10^{-13}.$$

- There are better bounds and exact determinations for important classes of knots.

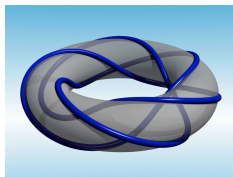
Exact results for classes.

- (Murasugi) *Torus knots*: For $p, q > 0$, $T_{(p,q)} = (p, q)$ -torus knots, then
$$c(T_{(p,q)}) = \min((p-1)q, (q-1)p).$$

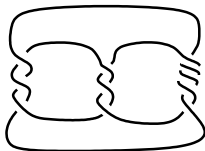


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- *Alternating knots*: Diagrams w. over-under-over... crossings



- (Kauffman, Murasugi, Thistlethwaite, 1980's) A reduced alternating diagram is minimum. This was the (**Tait Conjecture**) formulated in 1800's.
- Additivity Conjecture holds for alternating knots (Kauffman, Murasugi, Thistlethwaite).

Exact results for classes, cont.

- (Lickosrish, Thistlethwaite, 80's) Studied *adequate knots*; a broader class than alternating knots and determined their crossing numbers.
- Adequate knots admit “special” knot diagrams; these diagrams realize the crossing number.
- The *writhe* (algebraic crossing number) of such “special” diagram $D = D(K)$ is invariant of K .
- (Lickorish-Thistlethwaite, 80's) Crossing numbers for Montesinos knots (sums of alternating tangles).
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- In above cases a “special” diagram of K gives $c(K)$.
- (K.-Lee, '21) Crossing numbers of first infinite families of *prime* satellites.

Theorem

Let $W(K)$ = untwisted Whitehead double of a knot K . If K is adequate with crossing number $c(K)$ and writhe number zero, then $c(W(K)) = 4.c(K) + 2$.

Exact results for classes, cont.

- “Doubling” an adequate diagram $D = D(K)$, with writhe zero, produces a minimum crossing number of $W(K)$.
- Crossing number of untwisted Whitehead doubles of figure-8 is 18.



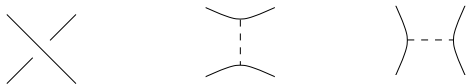
- Plenty of adequate knots with zero writhe number:

Corollary

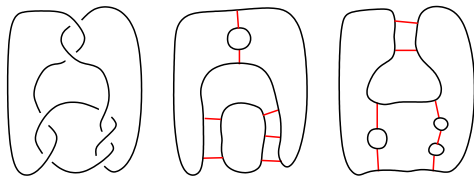
If K is adequate, with mirror image K^ , then $c(W(K\#K^*)) = 8.c(K) + 2$.*

Alternating/Adequate knots.

Two choices for each crossing, of knot diagram D : A -resolution (middle) and B -resolution (right).

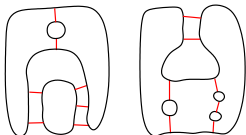


- A Kauffman *state* $\sigma(D)$ is a choice of A or B resolutions for all crossings.
- $\sigma(D)$: *state circles*.
- Form a *fat graph* H_σ by adding edges at resolved crossings.



Alternating/Adequate knots, con'd.

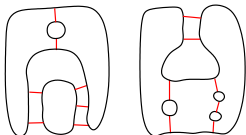
- K is called *A-adequate* if has a diagram $D = D(K)$ where the all- A state graph $H_A = H_A(D)$ has **no 1-edge loops**.
- Similarly we have *B-adequate*
- Left: graph from adequate state. Right: Graph from **inadequate** state.



- K is *adequate* if it admits a diagram that is both *A and B-adequate*.
- Introduced by (Lickorish–Thistlethwaite, 80's) while studying *Jones polynomials*.
- **Reduced alternating diagrams are A and B-adequate.**

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- Introduced by (Lickorish–Thistlethwaite, 80's) while studying *Jones polynomials*.
- **Reduced alternating diagrams are A and B-adequate.**
- (Jones, 80's) Constructed a Laurent polynomial invariant of knots $J_K(t) \in \mathbb{Z}[t, t^{-1}]$, that can be computed from any diagram $D = D(K)$.
- (KMT) The Tait conjecture is implied by: For any diagram $D = D(K)$,

degree span of $J_K(t) \leq$ number of crossings of D ,

with **equality** if and only if $D = D(K)$ is reduced alternating.

Calculation of CJP: Example.

- Kauffman bracket: $\langle \rangle : \text{link diagrams} \longrightarrow \mathbb{Z}[A, A^{-1}]$ such that

$$\langle \times \rangle = A \langle \rangle \langle \rangle + A^{-1} \langle \rangle \langle \rangle$$

$$\langle \bigcirc D \rangle = (-A^2 - A^{-2}) \langle D \rangle$$

$$\langle \emptyset \rangle = 1$$

- For $D = D(K)$ where $K = \text{trefoil knot}$:

$$\begin{aligned} \langle \text{trefoil} \rangle &= A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle \\ &= A^2 \langle \text{trefoil} \rangle + \langle \text{trefoil} \rangle + \langle \text{trefoil} \rangle + A^{-2} \langle \text{trefoil} \rangle \\ &= A^3 \langle \text{trefoil} \rangle + A \langle \text{trefoil} \rangle + A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle \\ &\quad + A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle + A^{-3} \langle \text{trefoil} \rangle. \end{aligned}$$

- We obtain: $J_K(t) = \frac{A^{-9}}{A^2 + A^{-2}} \langle D \rangle |_{t=A^{-4}} = t + t^3 - t^4.$

A correction term: Turaev genus.

- Hence, for alternating knots we have

$$\text{degree span of } J_K(t) = c(K).$$

- For adequate knots, that are not alternating,

$$\text{degree span of } J_K(t) = c(K) - g_T(K) < c(K)$$

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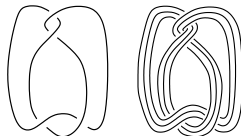
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- To determine the crossing number must look at Jones polynomials of all the “parallels” of adequate knots.



- (KMT) Adequate diagrams realize the crossing number of knots they represent.

The colored Jones polynomial knot invariants.

- For non-adequate knots (with Lee) we use the *colored Jones polynomials*.
- Colored Jones function: sequence $\{J_K(n)\}_n$ of Laurent polynomials in t .
- The Jones polynomial corresponds to $n = 2$.
- (Garoufalidis - Le, 2005) $\{J_K(n)\}$ satisfies a linear recurrence relation

$$a_d(t^{2n}, t)J_K(n+d) + \cdots + a_0(t^{2n}, t)J_K(n) = 0$$

for all n , where $a_j(u, v) \in \mathbb{C}[u, v]$. *q-holonomicity*.

- Example: for the only crossing number three knot (**a.k.a. trefoil**)

$$J_K(n) = t^{-6(n^2-1)} \sum_{j=-\frac{n-1}{2}}^{\frac{n-1}{2}} t^{24j^2+12j} \frac{t^{8j+2} - t^{-(8j+2)}}{t^2 - t^{-2}}.$$

- Recurrence relation

$$(t^{8n+12} - 1)J_K(n+2) + (t^{-4n-6} - t^{-12n-10} - t^{8n+10} + t^{-2})J_K(n+1) - (t^{-4n+4} - t^{-12n-8})J_K(n) = 0.$$

Impact of q-holonomicity on the degree of CJP.

- Let $d_+[J_K(n)]$ and $d_-[J_K(n)]$ denote the maximal and minimal degree of $J_K(n)$ in t , and set

$$d[J_K(n)] := 4d_+[J_K(n)] - 4d_-[J_K(n)] := s_2(n)n^2 + s_1(n)n + s_0(n),$$

$$s_i : \mathbb{N} \longrightarrow \mathbb{Q}, \quad i = 0, 1, 2.$$

- “q-holonomicity” implies that the set of cluster points $\{s_2(n)\}'_{n \rightarrow \infty}$ is finite.
- Point with the largest absolute value, denoted by d_{j_K} , is called the *Jones diameter* of K .

Theorem

(Lickorish-Thistlethwaite, 80's) For any knot we have

$$d_{j_K} \leq 2c(K),$$

where $c(K)$ is the crossing number of K .

If K is adequate then we have equality.

- With Lee we prove the converse: $d_{j_K} = 2c(K)$, implies K is adequate.

Knots of maximal Jones diameter.

- K.-Lee, 2021:

Theorem

Let K be a knot with Jones diameter d_{j_K} and crossing number $c(K)$. Then,

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- In fact, we show:
- Suppose a knot K admits a diagram $D = D(K)$, with $c := c(D)$, crossings and such that $d_{j_K} = 2c(D)$. Then D must be an adequate diagram.
- So if D realizes $c(K)$ and $d_{j_K} = 2c(D) = 2c(K)$, for some knot K , then D is adequate.

Crossing number application.

- Theorem has immediate corollary: A diagram with number of crossings “too close” to the Jones diameter gives the crossing number of the knot!!

Corollary

Suppose K is a *non-adequate* knot admitting a diagram $D = D(K)$ such that

$$dj_K = 2(c(D) - 1).$$

Then we have $c(D) = c(K)$.

Proof. Since K is non-adequate, Theorem gives that $2c(K) > dj_K$. Hence we get $c(D) \geq c(K) > \frac{dj_K}{2} = c(D) - 1$, giving $c(D) = c(K)$. \square

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- **Example.** For $K = W(\text{figure} - 8)$, by Baker-Motegi-Takata, $dj_K = 34 = 2 \cdot 17 = 2(18 - 1)$.
- Doubling the standard diagram of figure-8 produces a diagram of 18 crossings.
- The knot $K = W(\text{figure} - 8)$ is not adequate!

Doubles of amphicheiral knots.

- If K is amphicheiral adequate knot then $wr(K) = 0$.

Corollary

Suppose that K is an amphicheiral adequate knot with crossing number $c(K)$. Then $c(W(K)) = 4c(K) + 2$.

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Suppose that K is an amphicheiral adequate knot with crossing number $c(K)$. Then $c(W(K)) = 4c(K) + 2$.

- For any even $n > 0$ there are alternating, amphicheiral knots $c(K) = n$.
- $K =$ figure-8 knot is the 1st example: We have

$$c(W(\#_m K)) = 16m + 2.$$

- Prime amphicheiral adequate knots with $C(K) \leq 12$. (Knotinfo Cha-Livingston-Moore).

4_1	8_{18}	10_{43}	$12a_{435}$	$12a_{506}$	$12a_{1105}$	$12a_{1275}$
6_3	10_{17}	10_{45}	$12a_{471}$	$12a_{510}$	$12a_{1127}$	$12a_{1281}$
8_3	10_{33}	10_{99}	$12a_{477}$	$12a_{1019}$	$12a_{1202}$	$12a_{1287}$
8_9	10_{37}	10_{123}	$12a_{499}$	$12a_{1039}$	$12a_{1273}$	$12a_{1288}$

- Out of the 2977 prime knots with up to 12 crossings, 1851 are listed as adequate on Knotinfo and thus Corollary applies to $K\#K^*$.

Crossing number bounds from the CJP.

- Bounds obtained for families are much stronger than the known bounds of general knots and are compatible with conjectural bounds for general knots.
- Whitehead doubles of non-zero writhe adequate knots:

Theorem (K.-Lee)

Suppose that K is an adequate knot with crossing number $c(K)$ and writhe $wr(K)$. Then, the crossing number $c(W(K))$, of the untwisted Whitehead double of K , satisfies the following inequalities.

$$4c(K) + 1 \leq c(W(K)) \leq 4c(K) + 2 + 2|wr(K)|.$$

*In particular, if $wr(K) = 0$, then $W(K)$ is **non-adequate** we have $c(W(K)) = 4c(K) + 2$*

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- Whitehead doubles of torus knots (**non-adequate**): For the torus knot $T_{p,q}$ we have

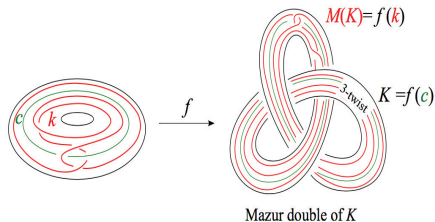
$$c(W_{\pm}(T_{p,q})) > 2c(T_{p,q}).$$

Mazur doubles.

Theorem

(Baker-Motegi-Takata, 2022) Suppose that K is an adequate knot with crossing number $c(K)$ and writhe $\text{wr}(K)$. Then, the crossing number $c(W(K))$, of a Mazur double $M(K)$, satisfies the following inequalities.

$$9c(K) + 2 \leq c(W(K)) \leq 9c(K) + 3 + 6|\text{wr}(K)|.$$



Questions:

- *wrapping number* $\omega = \omega(S(K))$ of a satellite knot $S(K)$, is geometric the intersection number of $S(K)$ with a meridian disk of neighborhood of the companion.
- For Whitehead doubles $\omega = 2$ and for Mazur double $\omega = 3$.
- **Speculation.** The lower bound on crossing numbers given by the degree of the CJP should give the following: If $S(K)$ is a satellite of an adequate knot then $c(S(K)) \geq \omega^2 \cdot c(K)$.

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- *wrapping number* $\omega = \omega(S(K))$ of a of satellite knot $S(K)$, is geometric the intersection number of $S(K)$ with a meridian disk of neighborhood of the companion.
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- **Speculation.** The lower bound on crossing numbers given by the degree of the CJP should give the following: If $S(K)$ is a satellite of an adequate knot then $c(S(K)) \geq \omega^2 \cdot c(K)$.
- *winding number* $\omega_h = \omega_h(S(K))$ of a of satellite knot $S(K)$, is the algebraic intersection number of $S(K)$ with a meridian disk of neighborhood of the companion.
- For Whitehead doubles $\omega_h = 0$ and for Mazur double $\omega_h = 1$.
- For Whitehead doubles we determined the crossing numbers; for Mazur doubles the method restricts the crossing number two possible values.
- **Question.** Suppose that K is an adequate knot with $wr(K) = 0$. For what *zero winding number* satellites of K , can we determine the crossing number using the method used for $W(K)$?

What is the function $\theta(a, b, c)$?

- To illustrate the complexity involved we further discuss the function $\theta(a, b, c)$.
- For a, b, c integers, with $a + b + c$ is even, $a \leq b + c$, $b \leq a + c$, and $c \leq a + b$, we have

$$\theta(a, b, c) = \frac{\Delta_{x+y+z}! \Delta_{x-1}! \Delta_{y-1}! \Delta_{z-1}!}{\Delta_{y+z-1}! \Delta_{z+x-1}! \Delta_{x+y-1}!},$$

- where,

① $x = \frac{a+c-b}{2}, y = \frac{b+c-a}{2}, z = \frac{a+b-c}{2},$

② $\Delta_n! := \Delta_n \Delta_{n-1} \Delta_{n-2} \cdots \Delta_1$ and $\Delta_{-1} = \Delta_0 := 1.$

③

$$\Delta_c = (-1)^c \frac{A^{2(c+1)} - A^{-2(c+1)}}{A^2 - A^{-2}}.$$

- ④ Degree span $d[J_K(n)]$, easy to compute for adequate knots.. hard in general

Why compute the CJP?

- There are open conjectures about the degrees $d[J_K(n)]$.
- The degrees $d[J_K(n)]$ encodes important information about π_1 -injective surfaces in the complement of K (*Slopes Conjectures*).
- Slopes conjectures predict:
 - the degree $d[J_K(n)]$ detects the trivial knot and torus knots
 - the degree $d[J_K(n)]$ characterizes alternating knots
 - K is alternating if and only if

$$2d_+[J_K^n] - 2d_-[J_K^n] = cn^2 + (2 - c)n - 2, \quad (*)$$

for some integer $c \geq 0$.

- The CJP conjecturally is related to character varieties of knots (*AJ-Conjecture*).
- *Volume Conjecture*: The colored Jones polynomials of a hyperbolic knot determine the volume of the knot complement.