

# On the growth of Turaev-Viro 3-manifold invariants

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# Notation/Definitions

*Quantum integer:*  $r \geq 3$  odd integer and  $q = e^{\frac{2i\pi}{r}}$ .

$$\{n\} = q^n - q^{-n} = 2 \sin\left(\frac{2n\pi}{r}\right) = 2 \sin\left(\frac{2\pi}{r}\right)[n], \quad \text{where } [n] = \frac{q^n - q^{-n}}{q - q^{-1}} = \frac{2 \sin\left(\frac{2n\pi}{r}\right)}{2 \sin\left(\frac{2\pi}{r}\right)}.$$

*Quantum factorial:*  $\{n\}! = \prod_{i=1}^n \{i\}$ .

*Set of colors:*  $I_r = \{0, 2, 4, \dots, r-3\}$  even integers less than  $r-2$ .

*Admissible Triple:*  $(a_i, a_j, a_k)$  of elements in  $I_r$ ,

$$a_i + a_j + a_k \leq 2(r-2), \quad \text{and}$$

$$a_i \leq a_j + a_k, \quad a_j \leq a_i + a_k, \quad a_k \leq a_i + a_j.$$

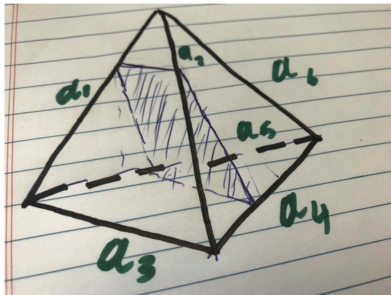
$$\Delta(a_i, a_j, a_k) = \zeta_r^{\frac{1}{2}} \left( \frac{\left\{ \frac{a_i + a_j - a_k}{2} \right\}! \left\{ \frac{a_j + a_k - a_i}{2} \right\}! \left\{ \frac{a_i + a_k - a_j}{2} \right\}!}{\left\{ \frac{a_i + a_j + a_k}{2} + 1 \right\}!} \right)^{\frac{1}{2}}$$

where  $\zeta_r = 2 \sin\left(\frac{2\pi}{r}\right)$ .

**Admissible 6-tuple:**  $(a_1, a_2, a_3, a_4, a_5, a_6) \in I_r^6$  each triple is dmisible

$$F_1 = (a_1, a_2, a_3), F_2 = (a_2, a_4, a_6), F_3 = (a_1, a_5, a_6) \text{ and } F_4 = (a_3, a_4, a_5).$$

**Tetrahedron colorings:** Given an admissible 6-tuple:



**Faces:**  $T_1 = \frac{a_1 + a_2 + a_3}{2}$ ,  $T_2 = \frac{a_1 + a_5 + a_6}{2}$ ,  $T_3 = \dots$  and  $T_4 = \dots$

**Quadrilaterals:**

$$Q_1 = \frac{a_1 + a_2 + a_4 + a_5}{2}, Q_2 = \frac{a_1 + a_3 + a_4 + a_6}{2} \text{ and } Q_3 = \frac{a_2 + a_3 + a_5 + a_6}{2}.$$

**Quantum 6j-symbol:** Given admissible 6-tuple

$$\alpha := (a_1, a_2, a_3, a_4, a_5, a_6) \in I_r^6,$$

$$\begin{vmatrix} a_1 & a_2 & a_3 \\ a_4 & a_5 & a_6 \end{vmatrix} = \Delta(\alpha) \times \sum_{z=\max\{T_1, T_2, T_3, T_4\}}^{\min\{Q_1, Q_2, Q_3\}} \frac{(-1)^z \{z+1\}!}{\prod_{j=1}^4 \{z - T_j\}! \prod_{k=1}^3 \{Q_k - z\}!} \quad (1)$$

where

$$\Delta(\alpha) := (\zeta_r)^{-1} (\sqrt{-1})^\lambda \prod_{i=1}^4 \Delta(F_i),$$

and

$$\lambda = \sum_{i=1}^6 a_i,$$

and

$$\zeta_r = 2 \sin\left(\frac{2\pi}{r}\right).$$

# Colorings of Triangulations

Given a compact orientable 3-manifold  $M$  consider a triangulation  $\tau$  of  $M$ . If  $\partial M \neq \emptyset$  allow  $\tau$  to be a (partially) *ideal triangulation*: some vertices of the tetrahedra are truncated and the truncated faces triangulate  $\partial M$ .

- $V$ =set of vertices of  $\tau$  which do not lie on  $\partial M$ .
- $E$ = set of interior edges (thus excluding edges coming from the truncation of vertices).
- *Admissible coloring at level  $r$* : An assignment

$$c : E \longrightarrow I_r$$

so that edges of each tetrahedron get an *admissible 6-tuple*.

- Given a coloring  $c$  and an edge  $e \in E$  let

$$|e|_c = (-1)^{c(e)}[c(e) + 1].$$

- For  $\Delta$  a tetrahedron in  $\tau$  let  $|\Delta|_c$  be the quantum  $6j$ -symbol corresponding to the admissible 6-tuple assigned to  $\Delta$  by  $c$ .

# The invariant

- $A_r(\tau)$  = the set of  $r$ -admissible colorings of  $\tau$
- $\eta_r = \frac{2 \sin(\frac{2\pi}{r})}{\sqrt{r}}$ .
- Turaev-Viro invariants as a state-sum over  $A_r(\tau)$ .

## Theorem (Turaev-Viro 1990)

*Let  $M$  be a compact, connected, orientable manifold closed or with boundary. Let  $b_2$  denote the second  $\mathbb{Z}_2$ -Betti number of  $M$ . Then the state sum*

$$TV_r(M) = 2^{b_2-1} \eta_r^{2|V|} \sum_{c \in A_r(\tau)} \prod_{e \in E} |e|_c \prod_{\Delta \in \tau} |\Delta|_c, \quad (2)$$

*is independent of the partially ideal triangulation  $\tau$  of  $M$ , and thus defines a topological invariant of  $M$ .*

- $6j$ -symbols satisfy identities (Biedenharn-Elliot identity, Orthogonality relation). These identities are used to show that state sum in 2 is invariant under Pachner moves of triangulations of  $M$ . Thus invariant of  $M$ .

# Turaev-Viro invariants and hyperbolic volume

- Families of of real valued invariants  $TV_r(M, q)$  by Turaev-Viro.
- For this talk:  $TV_r(M) := TV_r(M, e^{\frac{2\pi i}{r}})$ ,  $r = \text{odd}$  and  $q = e^{\frac{2\pi i}{r}}$ .
- **For experts:** These correspond to the  $SO(3)$  quantum group.
- (Q. Chen- T. Yang, 2015): studied the “large  $r$ ” asymptotics for hyperbolic 3-manifolds experimentally. Looked at

$$\frac{2\pi}{r} \log(TV_r(M, e^{\frac{2\pi i}{r}})), \text{ as } r \rightarrow \infty.$$

- Gave experimental evidence supporting (volume conjecture).

**Conjecture.** [C-Y] For  $M$  hyperbolic 3-manifold of finite volume

$$\lim_{r \rightarrow \infty} \frac{2\pi}{r} \log(TV_r(M, e^{\frac{2\pi i}{r}})) = \text{Vol}(M),$$

where  $r$  runs over odd integers.

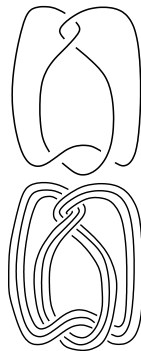
# Colored Jones Polynomial connection

- For  $M = S^3 \setminus L$ , a link complement in  $S^3$ , the invariants  $TV_r(M)$  can be expressed in terms of the colored Jones polynomial of  $L$ .
- *Colored Jones Polynomials*: Infinite sequence of Laurent polynomials  $\{J_{K,n}(t)\}_n$  encoding the *Jones polynomial* of  $K$  and these of the links  $K^s$  that are the **parallels** of  $K$ .
- Formulae for  $J_{K,n}(t)$  come from representation theory of  $SU(2)$  (decomposition of tensor products of representations). For example, They look like

$$J_{K,1}(t) = 1, \quad J_{K,2}(t) = J_K(t) - \text{Original JP}$$

$$J_{K,3}(t) = J_{K^2}(t) - 1, \quad J_{K,4}(t) = J_{K^3}(t) - 2J_K(t), \dots$$

- Kashaev-Murakami-Murakami Volume Conjecture (2000).





## Theorem (Detcherry-K.-Yang, 2016)

Let  $L$  be a link in  $S^3$  with  $n$  components.

$$TV_r(S^3 \setminus L, q) = 2^{n-1} (\eta'_r)^2 \sum_{1 \leq i \leq \frac{r-1}{2}} |J_{L,i}(q^2)|^2.$$

- Verified the Chen-Yang conjecture for some examples: Borromean rings, Figure-eight knot.
- Numerical evidence: Asymptotics of  $TV_r$  behave well under “cutting/glueing” along boundary tori—Recover *Gromov norm* (simplicial volume) State “simplicial volume conjecture” that is compatible with disjoint unions of links and connect sums (**Warning**: Original volume conjecture is not!).
- Observe “new” exponential growth phenomena of the colored Jones polynomial at values that are not predicted by the Kashaev-Murakami-Murakami conjecture or generalizations.

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**Remark** C-Y conjecture holds for 3-manifolds obtained by integer surgery on figure-8 (Ohtsuki, 2017), infinite family of hyperbolic links in  $S^1 \times S^2$  (DKY).

# Geometric decomposition

Theorem (Kneser, Milnor 60's, Jaco-Shalen, Johanson 1970, Thurston 1980 + Perelman 2003)

*M=oriented, compact, with empty or toroidal boundary.*

- 1 *There is a unique collection of 2-spheres that decompose M*

$$M = M_1 \# M_2 \# \dots \# M_p \# (\# S^2 \times S^1)^k,$$

*where  $M_1, \dots, M_p$  are compact orientable **irreducible** 3-manifolds.*

- 2 *For  $M=$ irreducible, there is a unique collection of disjointly embedded **essential** tori  $\mathcal{T}$  such that all the connected components of the manifold obtained by cutting  $M$  along  $\mathcal{T}$ , are either **Seifert fibered manifolds** or **hyperbolic**.*

- **Seifert fibered manifolds:** For this talk, think of it as

$S^1 \times$  surface with boundary + union of solid tori.

- **Hyperbolic:** Interior admits complete, hyperbolic metric of finite volume. Hyperbolic metric is essentially unique (Mostow rigidity).

# Gromov Norm/Volume highlights:

- Recall  $M$  uniquely decomposes along spheres and tori into disjoint unions of Seifert fibered spaces and hyperbolic pieces  $M = S \cup H$ ,
- Gromov, Thurston, 80's:
- *Gromov norm of  $M$* :  $\|M\| = v_{\text{tet}} \text{Vol}(H)$ ,  $\text{Vol}(H)$  is the sum of the hyperbolic volumes of components of  $H$  and  $v_{\text{tet}}$  is the volume of the regular hyperbolic tetrahedron.
- $\|M\|$  is additive under disjoint union and connected sums of manifolds.
- If  $M$  hyperbolic  $\|M\| = v_{\text{tet}} \text{Vol}(M)$ .
- If  $M$  Seifert fibered then  $\|M\| = 0$
- If  $M$  contains an embedded torus  $T$  and  $M'$  is obtained from  $M$  by cutting along  $T$  then

$$\|M\| \leq \|M'\|.$$

Moreover, the inequality is an equality if  $T$  is incompressible in  $M$ .

# Turaev-Viro invariants and Gromov norm?

- $M$  compact, orientable 3-manifold with empty or toroidal boundary.  
 $TV_r(M) := TV_r(M, e^{\frac{2\pi i}{r}})$ ,  $r = \text{odd}$  and  $q = e^{\frac{2\pi i}{r}}$ . One can ask

$$\lim_{r \rightarrow \infty} \frac{2\pi}{r} \log(TV_r(M, e^{\frac{2\pi i}{r}})) = v_{\text{tet}} \|M\|?$$

- Let

$$LTV(M) = \limsup_{r \rightarrow \infty} \frac{2\pi}{r} \log(TV_r(M)), \quad ITV(M) = \liminf_{r \rightarrow \infty} \frac{2\pi}{r} \log(TV_r(M)).$$

- What can we say?  $LTV(M) < \infty$ ?  $ITV(M) \geq 0$ ?  $ITV(M) > 0$ ?
- Perhaps more robust conjecture
- **Question.** (*Coarse Volume Conjecture?*) Are there **universal** constants  $A, B > 0$  such that for every  $M$  we have

$$A \cdot \|M\| \leq ITV(M) \leq LTV(M) \leq B \cdot \|M\|?$$

## Theorem (Detcherry-K., 2017)

*There exists a universal constant  $B > 0$  such that for any compact orientable 3-manifold  $M$  with empty or toroidal boundary we have*

$$LTV(M) \leq B \cdot \|M\|,$$

*where the constant  $B$  is about  $8.3581 \times 10^9$ .*

- Better bounds in special cases— For “most” links in  $S^3$ ,  
 $LTV(S^3 \setminus L) \leq 10.5 \text{ Vol}(S^3 \setminus L)$
- If  $M = S^3 \setminus L$  hyperbolic link complement with  $LTV(M) = \text{Vol}(M)$  (e.g. **Borromean rings**). We have

$$LTV(M(s_1, \dots, s_k)) \leq B(\ell_{\min}) \text{Vol}(M(s_1, \dots, s_k)),$$

where  $B(\ell_{\min})$  is a function that approaches 1 as  $\ell_{\min} \rightarrow \infty$ .

# Manifolds with $\|M\| = 0$

- $LT$  is subadditive under connect sum:

$$LTV(M_1 \# M_2) \leq LTV(M_1) + LTV(M_2),$$

- and under disjoint unions of 3-manifolds.
- Suppose  $M$  compact, orientable with empty or toroidal boundary and such that  $\|M\| = 0$  (e.g.  $M$  a Seifert fibered manifold.) Then

$$LTV(M) \leq 0.$$

## Corollary

For any link  $K \subset S^3$  (or  $K \subset S^1 \times S^2$ ) with  $\|S^3 \setminus K\| = 0$ , we have

$$LTV(M) = \lim_{r \rightarrow \infty} \frac{2\pi}{r} \log(TV_r(M \setminus K)) = v_{\text{tet}} \cdot \|S^3 \setminus K\| = 0,$$

where  $r$  runs over all odd integers.

# Lower bounds?

- We want: There is  $A > 0$ , such that for  $r \gg 0$ ,  $\log |TV_r(M)| \geq A\|M\| r$ , or

$$ITV(M) = \limsup_{r \rightarrow \infty} \frac{2\pi}{r} \log(TV_r(M)) \geq A\|M\|.$$

- Weaker: Establish *exponential growth*: If  $\|M\| > 0$ , then  $ITV(M) > 0$ .

## Results:

### Corollary (Detcherry-K, 2017)

Let  $M$  the complement of the Figure-8 knot. Then for every link  $L \subset M$ , we have

$$ITV(M \setminus L) > 2v_{\text{tet}}, \text{ where } v_{\text{tet}} = 1.0149.$$

- Let  $M$  the complement of the Figure-8 or the Borromean rings. Then for any link  $L \subset M$ , we have  $ITV(M \setminus L) > 2v_{\text{oct}}$ , where  $v_{\text{oct}} = 3.6638$ .
- (w. Detcherry-Yang) Any closed 3-manifold  $N$  contains a hyp. link  $K$  s.t.

$$LTV(N \setminus K) = ITV(N \setminus K) = \text{vol}(N \setminus K).$$

- For  $M := N \setminus K$  and any  $L \subset M$ , we have  $ITV(M \setminus (L \cup K)) > \text{Vol}(N \setminus K)$ .



# Key point: Cutting along tori

- Invariants  $ITV(M)$  and  $LTV(M)$  **do not increase** under glueing along tori.

## Theorem (Detcherry-K)

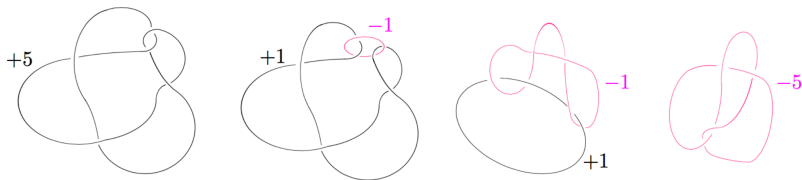
*Let  $M$  be a compact oriented 3-manifold with empty or toroidal boundary. Let  $T \subset M$  be an embedded torus and let  $M'$  be the manifold obtained by cutting  $M$  along  $T$ . Then*

$$ITV(M) \leqslant ITV(M') \text{ and } LTV(M) \leqslant LTV(M').$$

- In particular,  $ITV(M)$ ,  $LTV(M)$  **do not increase** under Dehn filling! (compare, Gromov norm)
- **Ingredients:** [Roberts, Benedetti-Petronio, 90's] T-V invariants can be computed as part of a Topological Quantum Field Theory (TQFT); this involves by cutting and gluing 3-manifolds along surfaces.
- **For experts:** The TQFT is the  $SO(3)$ - Reshetikhin-Turaev and Witten TQFT as constructed by Blanchet, Habegger, Masbaum and Vogel (1995)

# An example: Knot $5_2$ and parents

- $K(p)$  = 3-manifold obtained by  $p$ -surgery on  $M$ .
- $LTV(4_1(-5)) = \text{Vol}(4_1(-5)) \simeq 0.9813688 > 0$  [Ohtsuki, 2017]
- Observe  $5_2(5)$  is homeomorphic to  $4_1(-5)$ .



- Dehn filling result implies  $ITV(S^3 \setminus 5_2) \geq ITV(5_2(5)) = ITV(4_1(-5)) > 0$
- But Dehn filling result also implies that for any link containing  $5_2$  as a component we have **exponential growth**

$$ITV(S^3 \setminus L) \geq ITV(S^3 \setminus 5_2) > 0.$$

# Outline of proof of main result: (Upper bound)

- 1 Study the large- $r$  asymptotic behavior of the quantum  $6j$ -symbols, and using the state sum formulae for the invariants  $TV_r$ , to give a linear upper bound of  $LTV(M)$  in terms of the number of tetrahedra in any triangulation of  $M$ . In particular,  $LTV(M) < \infty$ .
- 2 involves analytical estimates of quantum  $6j$ -symbols.
- 3 Combine with work of W. Thurston to establish the hyperbolic case:
- 4 **Hyperbolic Case:** There is a constant  $B > 0$  such that for any hyperbolic 3-manifold  $M$ , then  $LTV(M) \leq B||M||$ .
- 5 Use TQFT properties to show that if  $M$  is a Seifert fibered manifold, then  $LTV(M) \leq 0 = ||M||$ .
- 6 Use the geometric decomposition of 3-manifolds and the compatibility properties (subadditivity) of the Gromov norm and the invariant  $LTV$  with respect to this decomposition.

# Bounding LTV

- Quantum factorials estimates with Lobachevsky function give: For any  $r$ -admissible 6-tuple  $(a, b, c, d, e, f)$ , we have that

$$\frac{2\pi}{r} \log \left( ev_r \begin{vmatrix} a_1 & a_2 & a_3 \\ a_4 & a_5 & a_6 \end{vmatrix} \right) \leq v_8 + 8\Lambda\left(\frac{\pi}{8}\right) + O\left(\frac{\log r}{r}\right).$$

- Optimal estimate: Upper bound should be  $v_8$ . (Related work: Constantino, Chen-J. Murakami, Detcherry-K.-Yang).
- This gives

## Theorem

*Suppose that  $M$  is a compact, oriented manifold with a triangulation consisting of  $t$  tetrahedra. Then, we have*

$$LTV(M) \leq 2.08 v_8 t,$$

*where  $v_8 \simeq 3.6638..$  is the volume of a regular ideal octahedron.*

# Bounding LTV, cont'

- The analysis in the proof of the so called Jorgensen-Thurston Theorem in Thurston's Notes gives the following:

## Theorem (Thurston, 80's)

*There exists a universal constant  $C_2$ , such that for any complete hyperbolic 3-manifold  $M$  of finite volume, there exists a link  $L$  in  $M$  and a partially ideal triangulation of  $M \setminus L$  with less than  $C_2 \|M\|$  tetrahedra.*

- Proof comes from the thick-thin decomposition of hyperbolic manifolds. The constant  $C_2$  in this theorem can be explicitly estimated,  $C_2 = 1.101 \times 10^9$ .
- This Theorem combined with bound of  $LTV$  in terms of tetrahedra (last theorem) finishes the Hyperbolic Case.